

Subject:	Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver		
Guideline #:	CG-SURG-61	Publish Date:	07/07/2021
Status:	Reviewed	Last Review Date:	05/13/2021

Description

This document focuses on the use of cryosurgical (also known as cryosurgery or cryoablation) or radiofrequency ablation as a treatment of:

- Primary or secondary malignancies outside the liver; and
- Benign tumors outside the liver.

Cryosurgery involves freezing of target tissues, most often by inserting into the tumor a probe through which coolant is circulated. Radiofrequency ablation involves the use of heat to destroy cancer cells via high-energy radio waves passing through a probe inserted into the tumor.

Note: This document does not address the treatment of skin lesions, including basal and squamous cell carcinoma.

Note: For additional information, see the following:

- CG-SURG-78 Locoregional and Surgical Techniques for Treating Primary and Metastatic Liver Malignancies
- CG-SURG-101 Ablative Techniques as a Treatment for Barrett's Esophagus

Clinical Indications

Medically Necessary:

Cryosurgical Ablation

Cryosurgical ablation of the prostate is considered **medically necessary** as a treatment of prostate cancer.

Cryosurgical ablation for clinically localized, suspected renal malignancy is considered **medically necessary** for individuals with peripheral lesions that are less than or equal to 4 cm in diameter when one or more of the following criteria are met:

- Individual has a single kidney; or
- Individual has renal insufficiency as defined by a glomerular filtration rate (GFR) of less than or equal to 60 mL/min/m²; or
- Individual is considered a high-risk surgical candidate.

Radiofrequency Ablation

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

- A. Radiofrequency ablation of osteoid osteomas is considered medically necessary.
- B. Radiofrequency ablation of painful bony metastases is considered **medically necessary** in individuals who have failed or who are considered poor candidates for standard treatments such as opioids or radiation therapy.
- C. Radiofrequency ablation for clinically localized, suspected renal malignancy is considered **medically necessary** for individuals with peripheral lesions that are less than or equal to 4 cm in diameter and when *one or more* of the following criteria are met:
 - 1. Individual has a single kidney; or
 - 2. Individual has renal insufficiency as defined by a glomerular filtration rate (GFR) of less than or equal to 60 mL/min/m²; or
 - 3. Individual is considered a high-risk surgical candidate.
- D. Radiofrequency ablation of biopsy-proven non-small cell lung cancer (NSCLC) is considered **medically necessary** when *all* of the following criteria are met:
 - 1. Surgical or radiation treatment with curative intent is considered appropriate based on stage of disease, however medical co-morbidity renders the individual unfit for those interventions; **and**
 - 2. No tumor has a maximum diameter of greater than 3.0 cm; and
 - 3. Tumors are located at least 1 cm from the trachea, main bronchi, esophagus, aorta, aortic arch branches, pulmonary artery and the heart.
- E. Radiofrequency ablation of *metastatic* malignant tumor(s) to the lung is considered **medically necessary** when *all* of the following criteria are met:
 - 1. Biopsy-proven lung metastasis(es) from an extra-pulmonary primary site; and
 - 2. Surgical or radiation treatment is considered appropriate based on stage of disease, however medical co-morbidity renders the individual unfit for those interventions; **and**
 - 3. There is no current active extra-pulmonary disease; and
 - 4. There are no more than 3 tumors per lung; and
 - 5. No tumor has a maximum diameter greater than 3.0 cm; and
 - 6. Tumors are located at least 1 cm from the trachea, main bronchi, esophagus, aorta, aortic arch branches, pulmonary artery and the heart; **and**
 - 7. If a repeat procedure, at least 12 months have elapsed since the prior ablation.

Not Medically Necessary:

Cryosurgical ablation of tumors outside the liver is considered **not medically necessary** when the above criteria are not met and for all other indications including, but not limited to benign or malignant breast tumors and pancreatic cancer.

Radiofrequency ablation of tumors outside the liver is considered **not medically necessary** when the above criteria are not met and for all other indications, including but not limited to breast cancer, breast fibroadenomas, head and neck tumors, adrenal cancer, chordoma, ovarian cancer, and pelvic/abdominal metastases of unspecified origin.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from the health plan.

Coding

The following codes for treatments and procedures applicable to this guideline are included below for informational purposes. Inclusion or exclusion of a procedure, diagnosis or device code(s) does not constitute or imply member coverage or provider reimbursement policy. Please refer to the member's contract benefits in effect at the time of service to determine coverage or non-coverage of these services as it applies to an individual member.

Bone:

When services may be Medically Necessary when criteria are met:

CPT 20982	Ablation therapy for reduction or eradication of 1 or more bone tumors (eg metastasis) including adjacent soft tissue when involved by tumor extension, percutaneous, including imaging guidance when performed; radiofrequency
ICD-10 Diagnosis C79.51 D16.00-D16.9	Secondary malignant neoplasm of bone Benign neoplasm of bone and articular cartilage

When services are Not Medically Necessary:

For the procedure codes listed above when criteria are not met or for all other diagnoses not listed

When services are also Not Medically Necessary:

CPT

20983

Ablation therapy for reduction or eradication of 1 or more bone tumors (eg, metastasis) including adjacent soft tissue when involved by tumor extension, percutaneous, including imaging guidance when performed; cryoablation

ICD-10 Diagnosis

All diagnoses

Lung:

When services may be Medically Necessary when criteria are met:

CPT 32998

Ablation therapy for reduction or eradication of 1 or more pulmonary tumor(s) including pleura or chest wall when involved by tumor extension, percutaneous, including imaging guidance when performed, unilateral; radiofrequency

ICD-10 Procedure 0B5K3ZZ-0B5M3ZZ

Destruction of lung, percutaneous approach [right, left, bilateral; includes codes 0B5K3ZZ, 0B5L3ZZ, 0B5M3ZZ; when specified as radiofrequency ablation]

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

ICD-10 Diagnosis

All diagnoses

When services are Not Medically Necessary:

For the procedure codes listed above when criteria are not met.

When services are also Not Medically Necessary:

СРТ	
32994	Ablation therapy for reduction or eradication of 1 or more pulmonary tumor(s) including
	pleura or chest wall when involved by tumor extension, percutaneous, including imaging
	guidance when performed, unilateral; cryoablation
ICD-10 Procedure	
0B5K3ZZ-0B5M3ZZ	Destruction of lung, percutaneous approach [right, left, bilateral; includes codes
	0B5K3ZZ, 0B5L3ZZ, 0B5M3ZZ; when specified as cryosurgical ablation]
ICD 10 Diamasia	
ICD-10 Diagnosis	All diagnoses
	An diagnoses
Prostate	
When services are Medica	ally Necessary:
CPT	
55873	Cryosurgical ablation of the prostate (includes ultrasonic guidance and monitoring)
ICD-10 Procedure	
01/50077 01/50/77	For the following codes when specified as cryosurgical ablation:
0 \$ 30022-0 \$ 30422	Destruction of prostate [by approach; includes codes 0v 500ZZ, 0v 503ZZ, 0v 504ZZ]
ICD-10 Diagnosis	
C61	Malignant neoplasm of prostate
C79.82	Secondary malignant neoplasm of genital organs
D07.5	Carcinoma in situ of prostate
Renal:	
When services may be Me	edically Necessary when criteria are met:
СРТ	
50250	Ablation open 1 or more renal mass lesion(s) cryosurgical including intraoperative
50250	ultrasound guidance and monitoring if performed
	unusound guidance and monitoring, it performed

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

50542	Laparoscopy, surgical; ablation of renal mass lesion(s), including intraoperative ultrasound guidance and monitoring, when performed [when specified as cryosurgical or radiofrequency ablation]
50592	Ablation, 1 or more renal tumor(s), percutaneous, unilateral, radiofrequency
50593	Ablation, renal tumor(s), unilateral, percutaneous, cryotherapy
ICD-10 Procedure	
	For the following codes when specified as cryosurgical or radiofrequency ablation:
0T500ZZ-0T514ZZ	Destruction of kidney [left or right, by approach; includes codes 0T500ZZ, 0T503ZZ,
	0T504ZZ, 0T510ZZ, 0T513ZZ, 0T514ZZ]
0T530ZZ-0T544ZZ	Destruction of kidney pelvis [left or right, by approach; includes codes 0T530ZZ,
	0T533ZZ, 0T534ZZ, 0T540ZZ, 0T543ZZ, 0T544ZZ]
ICD-10 Diagnosis	All diagnoses

When services are Not Medically Necessary:

For the procedure codes listed above when criteria are not met.

Other tumors:

When services are Not Medically Necessary:

СРТ	
19105	Ablation, cryosurgical, of fibroadenoma, including ultrasound guidance, each
	fibroadenoma
48999	Unlisted procedure, pancreas [when specified as cryosurgical or radiofrequency
	ablation of pancreas tumor(s)]
0581T	Ablation, malignant breast tumor(s), percutaneous, cryotherapy, including imaging
	guidance when performed, unilateral
ICD-10 Procedure	
0H5T0ZZ-0H5V3ZZ	Destruction of breast [right or left or bilateral, by approach; includes codes 0H5T0ZZ,
	0H5T3ZZ, 0H5U0ZZ, 0H5U3ZZ, 0H5V0ZZ, 0H5V3ZZ] [when specified as
	cryosurgical or radiofrequency ablation]
ICD-10 Diagnosis	
	All diagnoses

Discussion/General Information

Background/Overview

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

Clinical UM Guideline Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

Cryosurgery, also called cryotherapy or cryosurgical ablation, uses extreme cold to destroy abnormal tissue. Cryosurgery may be used to treat skin tumors such as basal cell carcinoma or tumors inside the body such as prostatic or renal tumors.

When cryosurgery is used to treat tumors inside the body, a coolant such as liquid nitrogen or argon gas is circulated through a hollow instrument called a cryoprobe that is placed in contact with the tumor. Imaging procedures such as ultrasound or MRI are used to guide the cryoprobe to the tumor location and monitor the freezing process. The cryoprobe may be inserted into the tumor during an open or laparoscopic surgical procedure or may be inserted through the skin and guided to the tumor using an imaging technique. During cryosurgery, a ball of ice crystals forms around the probe. This freezes and kills nearby cells. Treatment is monitored to limit the amount of damage to nearby healthy tissue. The probe is removed after treatment and the frozen tissue thaws. The dead tissue is then naturally absorbed by the body. Sometimes more than one probe is used to treat different parts of the tumor.

Radiofrequency ablation (RFA) can also be used to treat inoperable tumors or to treat individuals ineligible for surgery due to age or comorbidities. Goals of RFA may include control of local tumor growth, prevention of recurrence, palliation of symptoms, and extending survival. The procedure kills cells with heat generated by rapidly alternating current delivered through probes inserted into the tumor. The effective volume of RFA depends on the frequency and duration of applied current, local tissue characteristics, and probe configuration (for example, single versus multiple tips). RFA can be performed as an open surgical procedure, laparoscopically, or percutaneously with ultrasound or computed tomography (CT) guidance.

Cryosurgery and RFA may offer advantages over other methods of cancer treatment. They may be an option for treating cancers that are otherwise inoperable, do not respond to standard treatments or for individuals who are not good candidates for conventional surgery because of their age or other medical conditions. They can be less invasive than surgery, involving only a small incision to insert the cryoprobe through the skin. Destruction of nearby healthy tissue is minimized. Consequently, complications of surgery such as pain and bleeding may be minimized. Cryosurgery and RFA may require a shorter recovery time and a shorter hospital stay, or no hospital stay at all. They can sometimes be done using only local anesthesia. In addition, these treatments may often be safely repeated. Cryosurgery and RFA are often used as adjuncts to surgery, chemotherapy, hormone therapy, or radiation.

Cryosurgery and RFA can result in adverse effects; however, these may be less severe than those associated with conventional surgery or radiation therapy. Adverse effects depend on the location of the tumor but may include bleeding, damage to tissues adjacent to the tumor, and structural damage along the route of access to the tumor. Incontinence or urinary retention can occur following treatment for prostate cancer. Post-operative infection can occur. Secondary tumors can occur if tumor cells are seeded along the access tract when the probe is removed. In rare cases, cryosurgery may interact adversely with certain types of chemotherapy.

Prostate Cancer

Treatment options for prostate cancer include watchful waiting, surgical prostatectomy, various forms of radiation therapy and cryosurgery. The goal of prostate cryoablation is the destruction of the entire gland.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from the health plan.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

Cryosurgical ablation for treatment of prostate cancer is considered a safe and effective treatment. Several small observational trials have shown similar complication rates to external beam radiation therapy (EBRT) or brachytherapy in terms of erectile dysfunction, obstruction, incontinence, and urethral stricture (Abufaraj, 2021; Valle, 2020). Post-operative biopsy results and recurrence rates are also similar to EBRT. A guideline published in 2017 by the American Urological Association (AUA) in conjunction with the American Society for Radiation Oncology (ASTRO) and the Society of Urologic Oncology (SUO) provides broad support for the use of cryotherapy in prostate cancer.

The National Comprehensive Cancer Network[®] (NCCN) Clinical Practice Guideline[®] for Prostate Cancer (V.2.2021) recommends cryosurgery as a minimally invasive local option for individuals with prostate cancer. The guideline also notes that cryosurgery may be used as salvage therapy after failed radiation.

Cryosurgical ablation continues to be studied in combination with other treatments for prostate cancer. However, the current body of evidence supports that cryotherapy is a recognized and established treatment of prostate cancer.

Renal Cell Carcinoma and Other Renal Tumors

Localized renal cell carcinoma (RCC) is usually treated by radical nephrectomy or nephron-sparing surgery. Surgical excision of small renal masses remains the standard of care with 5-year survival approaching 97%. However, for those individuals with limited disease who are not candidates for surgery, ablative techniques provide an alternative treatment.

Tanagho (2013) compared cryoablation to robotically-assisted partial nephrectomy in subjects with contrast enhancing renal masses that were concerning for renal cell carcinoma (RCC). This retrospective nonrandomized controlled study involved 267 subjects who underwent either laparoscopic (n=149) or percutaneous (n=118) cryoablation compared to 233 subjects who received treatment with robotically-assisted partial nephrectomy. The authors reported no significant differences between the cryoablation and nephrectomy groups with regard to perioperative complication rates (8.6% versus 9.4%). Biopsy results were reported in only 153 (57%) of the 267 subjects in the cryoablation group, with confirmed RCC in 52.3% (80/153) of subjects. Five-year Kaplan-Meier estimated disease-free survival (DFS) was 93.1%, cancer-specific survival (CSS) was 96.4%, and overall survival (OS) was 77.1%. In the nephrectomy group, all subjects had biopsy results and RCC was confirmed in 79.4%. In this group, DFS was 100%, CSS was 100%, and OS was 91.7%. Unfortunately, no intergroup comparative statistical data were provided for these data points. The intraoperative complication rate for the nephrectomy group was significantly better than the rate for the cryoablation group (1.3% versus 7.2%). This finding was reversed for postoperative complications (7.7% versus 2.2%, respectively; p < 0.01). Cancer recurrence was also significantly better after nephrectomy, with 12.7% of the cryoablation group experiencing recurrence versus 0% in the nephrectomy group. It should be noted that there were significant differences between groups preoperatively with regard to several factors including mean tumor size (2.5 cm for the cryoablation versus 2.9 cm for the nephrectomy group), the Charleston Comorbidity Index (6.5 versus 2.1, respectively), and mean nephrectomy score (6.4 versus 7.3, respectively).

Several nonrandomized retrospective studies comparing nephrectomy with cryoablation have demonstrated similar outcomes with regard to operative times, blood loss, and complication rates (Emara, 2014; Haramis, 2012; Panumatrassamee, 2013). Two of these studies (Emara, 2014; Panumatrassamee, 2013) reported significantly better

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

post-operative renal function following cryoablation. Breen (2013) and Buy (2013) reported on cryosurgical studies with follow-up of 20 months. The Breen study prospectively enrolled 147 subjects and reported that only tumor location in the upper pole was a predictive factor for complications. Only one case of recurrence was reported in the subset of 62 subjects with biopsy-proven RCC. The Buy study prospectively enrolled 95 subjects and reported a technical success rate of 94%. The 12-month OS rate was 96.7% and disease-free survival (DFS) rate was 96.4%.

Atwell (2013) published findings of a large retrospective review comparing RFA and cryoablation for tumors < 3 cm. RFA was used to treat 256 tumors in 222 subjects and cryoablation was used for 189 tumors in 163 subjects. In the RFA group, 59% (152/256) of tumors were biopsied and 54% of these (82/152) were identified as RCC. For the cryoablation group, 74% (140/189) of tumors were biopsied and 56% of these (79/140) were RCC. Significant baseline differences between groups were identified. RFA subjects more likely to have had previous history of RCC and more likely to have larger tumors than cryoablation group . No significant differences were noted with regard to rates of major complications. Follow-up of at least 3 months occurred in 60% of RFA tumors and only 40% of cryoablation tumors. For biopsy-proven RCC, estimated local recurrence-free survival rates at 1, 3, and 5 years after RFA were 100%, 98.1%, and 98.1%, respectively, compared with cryoablation: 97.3%, 90.6%, and 90.6%, respectively.

A larger nonrandomized comparative study investigating the outcomes between laparoscopic (n=275) and percutaneous (n=137) cryoablation of single renal masses was published by Zargar in 2015. The overall and major complication rates were similar (7.27% versus 7.29% and 0.7% versus 3.6%, respectively). The median follow-up time for the laparoscopic group was significantly longer than the percutaneous group (mean 4.41 years versus 3.15 years). Estimated probabilities of 5-year OS for laparoscopic and percutaneous cryoablation were 89% and 82%, respectively. The estimated probability of the 5-year recurrence-free survival (RFS) was 79% and 80%, respectively. There was no significant difference in OS or RFS at 5 years between the two groups. Heart disease and history of disease recurrence were predictors of death. Tumor size and anterior location affected local recurrence rates. The authors recommended that these factors be considered when choosing treatment plans.

Caputo and colleagues published the results of a retrospective case series in 2016. The study focused on the longterm outcomes of cryoablation for renal tumors. It followed 138 subjects with 142 renal tumors treated with laparoscopic cryoablation. Of the 142 tumors, 100 were diagnosed as RCC. In the subjects with renal carcinoma, at 3, 5 and 10 year follow-up time points, the estimated RFS was 91.4%, 86.5% and 86.5%, respectively. Estimated cancer specific survival was 96.8%, 96.8% and 92.6%, respectively. Estimated OS was 88.7%, 79.1% and 53.8%, respectively. The mean follow-up was 98.8 ± 54.2 months in subjects diagnosed with RCC. The mean time to recurrence was reported as 2.3 years; the last experienced recurrence occurred 4.4 years after the intervention. In the 3 subjects that experienced incomplete ablation, 1 subject underwent repeat cryoablation and 2 subjects underwent radical nephrectomy. There was a postoperative complication rate of 10.6% with a total of 15 complications. The most common complications were ileus (n=3), hypoxia (n=2), and hemorrhage (n=2). The authors concluded that laparoscopic cryoablation achieved good long-term oncologic outcomes for localized small renal masses.

A meta-analysis by El Dib and colleagues (2012) evaluated cryoablation compared to RFA as a treatment of small (less than 4 cm) RCC. Cryoablation had a pooled clinical efficacy rate of 89% in 457 cases versus 90% in 426 cases

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

with RFA. The observed difference in the rate of complications was not statistically significant between the ablation techniques. The authors concluded that both cryoablation and RFA were promising therapies to treat small renal tumors in individuals who were considered poor candidates for more involved surgery.

A small number of controlled studies address the use of RFA for RCC. The largest was a retrospective nonrandomized comparative study involving 385 subjects with renal masses \leq 3.0 cm who underwent treatment with either RFA (n=256 tumors in 222 subjects) or cryoablation (n=189 tumors in 163 subjects) (Atwell, 2013). Subjects were selected for percutaneous ablation due to either high surgical risk or prior renal surgery. A total of 152 out of 256 tumors were biopsied prior to treatment with RFA. Of these, 102 were confirmed malignancies, 27 were benign, and 23 were unclassified. For the 189 tumors in the cryoablation group, 91 were malignant, 28 were benign, and 21 were unclassified. Subjects treated with RFA were more likely to have no prior renal cancer history (p=0.043), and were significantly more likely to have been followed for at least 3 months (p=0.023). Mean tumor size was significantly greater in the cryoablation group (p<0.001), and cryoablation was conducted more frequently for centrally located tumors (p < 0.001). Thirteen subjects underwent treatment with both modalities. Treatment failures were reported in 1 RFA case and 4 cryoablation cases. Major complications were reported in 10 (4.3%) RFA cases and 9 (5.1%) cryoablation cases. Out of the 363 subjects followed for at least 3 months, 218 were in the RFA group and 145 were in the cryoablation group. Recurrence was reported to have occurred in 7 (3.2%) of RFA subjects at a mean of 2.8 years and in 4 (2.8%) of cryoablation subjects at a mean of 0.9 years. Estimated 1-, 3- and 5-year survival rates for the RFA group were 100%. 97.2% and 93%, respectively compared to the cryoablation group rates: 98.3%, 95.6%, and 95.6%, respectively. A significant difference was noted in favor of the cryoablation group. Only one RFA-treated tumor had a recurrence versus three in the cryoablation group. Estimated 1-, 3-, and 5-year RFS for the RFA group was 100%, 98.1%, and 98.1% respectively. For the cryoablation group, these rates were 97.3%, 90.6%, and 90.6%, respectively. No significant differences were noted between groups. The authors noted that both RFA and cryoablation are effective in the treatment of renal masses less than or equal to 3 cm, with low complication rates.

A nonrandomized controlled comparative trial reported by Sung and colleagues in 2012 included 150 subjects with renal masses undergoing either RFA (n=40) or open partial nephrectomy (OPN, n=110). Tumor size and location were matched between the two groups. There were no significant demographic or other clinical differences between groups. The mean reduction in estimated glomerular filtration rates (eGFR) was similar in the RFA (2.3 ± 8.6 mL/min/ $1.73m^2$) and OPN groups (7.4 ± 10.9 mL/min/ $1.73m^2$). The 3-year recurrence-free survival rates in the RFA and OPN groups were 94.7% and 98.9%. The authors summarized that while both RFA and OPN therapy will result in reduced renal function, RFA can preserve more normal renal tissue than OPN. In terms of mid-term survival rates, RFA and OPN are equivalent.

In 2016, Yin and colleagues reported the results of a meta-analysis comparing data for radiofrequency ablation used to treat small renal tumors to data for partial nephrectomy (PN). Twelve retrospective studies met the selection criterion. The pooled results indicated that the local recurrence rate (4.14% versus 4.10%) and distant metastases rate (2.76% versus 1.89%) were not significantly different. RFA was reported to be associated with a significantly shorter length of stay and a non-significant lower eGFR decline after treatment. No significant differences were noted between groups for the perioperative complication rate (7.5% versus 6.2%) or the major complication rate (3.7% versus 4.4%+. The authors concluded that RFA achieved an equal oncological outcome for small renal tumors compared to partial nephrectomy.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from the health plan.

The National Comprehensive Cancer Network[®] (NCCN[®]) Clinical Practice Guideline[®] for Kidney Cancer (V.2.2021) includes surgical resection as an effective therapy for clinically localized RCC. Recommended options include radical nephrectomy and nephron-sparing surgery. Individuals with stage I through III tumors who are in satisfactory medical condition are recommended to undergo surgical excision. Active surveillance or ablative techniques, such as cryoablation or radiofrequency ablation are alternative strategies for individuals with T1 renal lesions who are not surgical candidates.

A 2017 AUA guideline addressing renal mass and localized renal cancer noted that while radical nephrectomy is associated with excellent cancer-specific survival, nephron-sparing techniques such as partial nephrectomy or thermal ablation are recommended. Thermal ablation is a means of preserving function while increasing procedure tolerance and reducing the potential complications associated with partial nephrectomy. The AUA addresses specific thermal ablation techniques noting:

A multitude of techniques/technologies have been investigated to ablate renal tumors, however radiofrequency ablation (RFA) and cryoablation have been most widely investigated and integrated into clinical practice. While the superiority of RFA or cryoablation remains controversial, it is generally accepted that oncologic outcomes are similar for both approaches.

The AUA makes the following recommendations regarding thermal ablation:

Both radiofrequency ablation and cryoablation are options for patients who elect thermal ablation. (Conditional Recommendation; Evidence Level: Grade C)

Physicians should consider thermal ablation (TA) as an alternate approach for the management of cT1a renal masses <3 cm in size. For patients who elect TA, a percutaneous technique is preferred over a surgical approach whenever feasible to minimize morbidity. (Conditional Recommendation; Evidence Level: Grade C)

In 2020, the Society of Interventional Radiology published a position statement on the role of percutaneous ablation in RCC, focusing on small renal masses and oligometastatic disease. For individuals with small renal tumors (stage T1a) percutaneous is recommended as a safe and effective treatment which has acceptable long-term oncological and survival outcomes and fewer complications than nephrectomy. For those with suspected stage T1a RCC, percutaneous thermal ablation is recommended over active surveillance. (Level of Evidence: C; Strength of Recommendation: Moderate). The position statement also recommends that percutaneous thermal ablation may be offered to those with T1b RCC who are not surgical candidates or in those with oligometastatic RCC with surgically resectable primary RCC who are not candidates for metastasectomy (Level of Evidence D; Strength of Recommendation: Weak).

The available medical literature indicates that cryoablation and RFA are safe and effective for: managing small, undefined peripheral renal masses (less than 4 cm); treating solitary kidneys or situations where the contra-lateral kidney is functioning poorly; and treating individuals who have significant comorbidities and cannot tolerate nephrectomy.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from the health plan.

Clinical UM Guideline Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

Bone Cancer and Bone Metastases

After lung and liver, bone is the third most common metastatic site. Bone metastases are relatively frequent among individuals with primary malignancies of the breast, prostate, and lung. Approximately 60-84% of metastatic cancer has osseous involvement (Mehta, 2020). Cancer-related bone pain is thought to be related to "tumor- and osteoclast-related changes to the osseous metastatic microenvironment resulting in a variety of neuropathic effects" (Mehta, 2020). These metastases often cause osteolysis resulting in pain, fractures, decreased mobility, and reduced quality of life. External beam irradiation is often the initial palliative therapy used for osteolytic bone metastases. However, pain from bone metastases is refractory to radiation therapy in 20% to 30% of individuals. Recurrent pain at previously irradiated sites may be ineligible for additional radiation due to risks of normal tissue damage. Alternatives include hormonal therapy, radiopharmaceuticals such as strontium-89, and bisphosphonates. Less often, surgery or chemotherapy may be used for palliation. Intractable pain may require opioid medications. RFA has been investigated as alternatives for palliating pain from bone metastases. RFA is thought to provide relief by the following mechanism:

RF ablation is able to directly affect osteoclast- and tumor cell-mediated sensory fiber activation by inhibition of osteoclast activity, reduction in overall tumor volume, and destruction of tumor cells producing nerve-stimulating cytokines as well as inhibiting transmission of painful signals by destruction of sensory nerve fibers in the bone (Mehta, 2020).

Callstrom and colleagues (2012) reported results from a prospective case series of 61 subjects investigating percutaneous cryoablation to treat painful bone metastases. The primary endpoints were worst pain and average pain scores on a visual analog scale. Participants completed questionnaires prior to therapy, a day after cryoablation, and thereafter via telephone interview on day 4 and then every 2 weeks for up to 6 months. During the 24-week follow-up period, 45 participants (74%) dropped out of the study. There was no significant change in the worst-pain score from baseline (7.1/10) to the interview at day 1 (7.0/10). The worst pain dropped significantly at week 1 to 5.1/10 (p<0.0001). Out of the 35 participants who were followed for a minimum of 8 weeks, 5 participants (14%) had recurrent worst pain that was equal to or greater than the baseline pain level prior to cryoablation. Of note, study participants were a subset of individuals included in multiple radiation treatment trials.

In a small case series, Meftah (2013) evaluated the outcomes of curettage and cryosurgery of low-grade chondrosarcoma of the bone in 42 subjects comparing a cryoprobe to a modified Marcove pour technique. There were no differences between the cryoprobe and Marcove techniques with respect to the Musculoskeletal Tumor Society score, fracture, or local recurrence rate. A significant correlation between tumor recurrence and soft-tissue extension was found (r=0.79). Kaplan-Meier survivorship with freedom from recurrence as the endpoint was 90.7%.

Mehta and associates (2020) performed a meta-analysis to evaluate the efficacy, durability and response time of RFA for pain relief from osseous metastases. The analysis included 14 studies comprised of 426 individuals. The majority of the studies were limited to those with cancer-related bone pain unresponsive to other pain control treatments. At the post-procedure median follow-up of 24 weeks, the median pain reduction from baseline was 67% (range, 17%–90%). At 1-week post-procedure, individuals reported an average 44% reduction in baseline pain.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from the health plan.

Clinical UM Guideline Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

CG-SURG-61

The NCCN clinical practice guidelines for cancer pain (V.1.2021) notes that RFA may be used to reduce pain and prevent skeletal-related events. The guidelines do not include cryoablation therapy as a technique to treat painful bone metastases or skeletal-related events.

Breast Cancer

Early-stage primary breast tumors are typically treated surgically. The selection of lumpectomy, modified radical mastectomy, or another approach balances the individual's desire for breast conservation, the need for tumor-free margins in resected tissue, and age, hormone receptor status, and other factors. Adjuvant radiation therapy decreases local recurrences, particularly for those who select lumpectomy. Adjuvant hormonal therapy and/or chemotherapy are added, depending on presence and number of involved nodes, hormone receptor status, and other factors.

Studies on minimally invasive techniques to treat breast cancer published before 2010 consist of small uncontrolled observational reports or reviews. These papers do not demonstrate that these techniques provide health benefits comparable to other established treatments (Izzo, 2001; Pfleiderer, 2002; Hayashi, 2003; Singletary, 2003; Fornage, 2004; Oura, 2007; Littrup, 2009; Zhao, 2010). More recent studies continue to consist of small populations with limited follow-up (García-Tejedor, 2018; Klimberg, 2014).

In a 2021 meta-analysis, van de Voort and colleagues examine whether thermal ablation is an effective method to treat early-stage breast cancer (tumors 2 cm or smaller). A total of 37 studies and 1266 participants were included in the analysis. The overall complete ablation rate was 86%. While these rates were similar to re-excision rates following breast-conserving surgery, thermal ablation does not allow evaluation of complete ablation when no subsequent resection is performed. The authors note that a method to confirm complete ablation needs to be sufficiently sensitive to maintain the current low local recurrence rates which are associated with breast-conserving surgery in this population. While the results show promise, the studies were largely noncomparative and small with great heterogeneity. The authors note "the results of this review should not lead to firm conclusions, but rather serve as a basis for larger phase 2 and 3 clinical trials".

The NCCN Breast Cancer Clinical Practice Guidelines (V.2.2021) lists a variety of treatment modalities breast cancer, including surgery, radiotherapy, chemotherapy, endocrine therapy, biologic therapy. These modalities are typically used in combination with each other. The choice of modality is based upon prognostic and predictive factors. The guidelines do not include cryoablation or RFA as a modality to treat breast cancer.

The American Society of Breast Surgeons (ASBS, 2018) has provided recommendations for RFA and cryoablation of malignant tumors of the breast. Specifically, they state:

Percutaneous and/or transcutaneous treatments of malignant tumors of the breast are not specifically approved by the FDA, though some ablative technologies are approved for treatment of benign and malignant soft tissue tumors. Therefore, ablative and percutaneous excisional treatments for breast cancer are considered investigational and should not be performed outside the realm of a clinical trial.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from the health plan.

Breast Fibroadenomas

Fibroadenomas of the breast are a common benign tumor, which may be palpated or discovered by imaging techniques. Fibroadenomas are often observed or may be surgically excised if causing concern or discomfort. Cryosurgery has been proposed as a surgical alternative.

The American Society of Breast Surgeons (ASBS, 2018) recommendations for cryoablation or percutaneous excision of fibroadenoma state that the lesion should be sonographically visible, histologically confirmed to be a fibroadenoma, the diagnosis of fibroadenoma must be concordant with the imaging findings, patient history, and physical exam, and the lesions should less than 4 cm in size.

The use of cryosurgery as a treatment for breast fibroadenomas has been reported in small studies (Edwards, 2004; Golatta, 2014; Kaufman, 2002; Kaufman, 2005; Nurko, 2005). Although technical feasibility appears promising, cryoablation has not been conclusively shown to produce health benefits comparable to alternative treatment options.

Pancreatic Cancer

The use of RFA to treat locally advanced pancreatic carcinoma was reported by Giardino and others (2012). This retrospective case series study involved 107 consecutive subjects who were followed for a minimum of 18 months following RFA treatment. Subjects were stratified by whether they received RFA as a first-line treatment (n=47) or as a second-line treatment (n=60). The overall postoperative mortality rate was 1.8%. The overall morbidity rate was 28.0%, of which the abdominal complication rate was 26.1%. Among these, 17.7% were considered RFA-related complications caused by thermal injuries. A temperature > 90°C applied to the tumor was found to be the only independent factor related to complications. The authors reported that the median OS for all subjects was 25.6 months, and 14.7 months for the first-line group and 25.6 months for the second-line group 2. Subjects who received the multimodal treatment had an OS of 34.0 months.

Two additional case series reported on the use of RFA for pancreatic cancers. Cantore (2012) reported RFA treatment of advanced pancreatic carcinoma in 107 subjects. Subjects received either RFA as a primary treatment (n=47) or following another primary therapy (n=60). Median overall survival was reported to be 25.6 months. Median overall survival was significantly shorter in the primary RFA treatment group than in the secondary RFA treatment group (14.7 months versus 25.6 months). Subjects who were treated with RFA, radiochemotherapy, and intra-arterial plus systemic chemotherapy (triple-approach strategy) had a median overall survival of 34.0 months. The authors concluded that RFA after alternative primary treatment was associated with prolonged survival.

Girelli (2013) reported on 100 consecutive subjects with Stage III pancreatic ductal adenocarcinoma who received RFA combined with chemoradiotherapy. RFA treatment was initially given to 48 subjects; 52 subjects had associated palliative surgery. Abdominal complications occurred in 24 subjects, which were RFA related in 15 cases. The reported mortality rate was 3%. At a median follow-up of 12 months, 55 subjects had died of disease and 4 had died due to unknown causes. Another 19 subjects were alive with disease progression, and 22 were alive and progression-free.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from the health plan.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

The NCCN Pancreatic Cancer Clinical Practice Guideline (V.2.2021) recommends surgery for resectable disease. All stages of pancreatic cancer treatment includes systemic therapy. The NCCN CPG does not include cryoablation or RFA as a recommended therapeutic modality to treat pancreatic cancer.

Pulmonary Tumors

Cryoablation

Surgical resection is standard initial treatment and is the preferred local treatment for early stage, non-small cell lung cancer (NSCLC) (Lencioni, 2008; NCCN, V.4.2021). The choice of surgical procedure depends on the extent of the disease, presence of comorbid conditions, and the individual's cardiopulmonary reserves.

Cryosurgical ablation for the treatment of NSCLC has been studied in a limited number of small studies. Moore and associates (2015) reported on a case series study involving 47 subjects with NSCLC followed for a minimum of 5 years. The 5-year survival rate was $67.8\% \pm 15.3$, the cancer-specific survival rate at 5 years was $56.6\% \pm 16.5$, and the 5-year progression-free survival rate was 87.9%. The combined local and regional recurrence rate was 36.2%. Major complications were reported in 6.4% of subjects, with two cases of hemoptysis and a prolonged placement of a chest tube requiring mechanical sclerosis in 1 subject. No deaths occurred in the first 30 days after treatment. These results are promising, but results from a large, controlled, comparative trials are needed to compare the risks and benefits of cryoablation for NSCLC compared to surgical resection.

The use of cryoablation has also been studied to treat metastatic disease to the lungs. At this time, the published literature includes case reports, case series and reviews. There is insufficient evidence at this time to determine if cryosurgical ablation of pulmonary metastases is as beneficial as the available alternatives.

The largest published study addressing the use of cryoablation for the treatment of metastatic lung tumors was published by de Baere and colleagues in 2015. This prospective case series study involved 40 subjects with 60 treated metastatic lung tumors from a variety of primary origins. The most common origin was colorectal cancer (40%). Follow-up to 12 months was reported for 35 subjects (90%). At 12 months, overall local tumor control was seen in 49 of 52 metastases (94.2%) and 32 of 35 subjects (91.4%). Tumor diameter was not found to be a significant factor in the rate of tumor progression (p=0.41). Additional new treatments were administered to 15 of the 40 subjects (38%). These included systemic treatment (chemotherapy: n=7 and immunotherapy: n=1) and other focal therapies for new metastatic disease (n=10), including six cryoablation procedures. One-year disease-specific survival and OS rates were 100% and 97.5% respectively. Pneumothorax requiring chest tube placement occurred in 9 of the 48 procedures (18.8%). Common Terminology Criteria for Adverse Events (CTCAE) grade 3 adverse events within 30 days of the procedure occurred in 3 of 48 (6%) procedures including a delayed pneumothorax requiring pleurodesis, a thrombosis of a pre-existing hemodialysis access arterio-venous fistula requiring thrombectomy, and a non-cardiac chest pain which spontaneously resolved. No grade 4 or 5 procedure-related adverse events occurred. No procedural-related delayed adverse events were observed. The design of this study does not allow for conclusions to be drawn about the effectiveness.

The American College of Chest Physicians (ACCP) stated in their 2012 consensus statement on the treatment of stage 1 NSCLC that cryoablation has limited efficacy compared to lobectomy (Donington, 2012). The NCCN Guidelines for NSCLC (V.4.2021) discuss thermal ablation as an option for select individuals who do not receive

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

radiotherapy or surgery. The NCCN guidelines do not include cryoablation to treat other primary tumors that have metastasized to the lung. The NCCN guidelines for Small Cell Lung Cancer (SCLC, V3.2021) do not reference the use of cryoablation as a treatment at any stage.

Radiofrequency Ablation

Safi and colleagues (2015) conducted a retrospective nonrandomized controlled study of 116 subjects with histologically proven clinical stage I NSCLC who were treated with sublobar resection (SLR; n=42), RFA (n=25), or radiotherapy (RT; n=49). The SLR subjects were younger and exhibited better performance status, and the RT subjects had larger tumors. After adjusting for age and tumor size, there were differences between the treatments in terms of the primary recurrence rate, but no differences were observed in overall survival or disease-free survival. The hazard ratio (HR) for primary recurrence comparing SLR versus RT adjusted for age and tumor size was 2.73 (95% confidence interval [CI], 0.72-10.27) and for SLR versus RFA was 7.57 (95% CI, 1.94-29.47). The authors concluded that SLR was associated with a higher primary tumor control rate compared to RFA or RT, although the overall survival rates were not different.

Another retrospective nonrandomized controlled trial was reported by Ochiai in 2015. This study involved 48 subjects with single, NSCLC lung tumor treated with RFA versus 47 treated with stereotactic body radiotherapy (SBRT). The mean maximum tumor diameter was 2.0 cm (range 0.6-3.9 cm) in the RFA group, and 2.1 cm (range 0.8-4.7 cm) in the SBRT group. The RFA and SBRT groups showed similar 3-year local tumor progression (9.6%, versus 7.0%) and overall survival rates (86.4% versus 79.6%). No factor significantly affected local tumor progression. A maximum tumor size of 2 cm was identified as a prognostic factor in both univariate and multivariate analyses. There were no deaths related to treatment procedures reported. The rate of Grade 3 adverse events was 10.4% (5/48) for the RFA group and 8.5% (4/47) for the SBRT group. The authors concluded that for individuals with lung tumors, lung RFA provided local tumor control and survival that were similar to those achieved using SBRT, with equal safety.

Matsui (2015) reported the results of a retrospective case series of 84 subjects with 172 colorectal lung metastases who underwent RFA. During a median follow-up of 37.5 months, 36 subjects (42.9%) died. The estimated overall survival rates were 95.2%, 65.0%, and 51.6% at 1, 3, and 5 years, respectively. Median overall survival time was 67.0 months. Multivariate analysis revealed that a carcinoembryonic antigen (CEA) level of at least 5 ng/mL before RFA and the presence of viable extrapulmonary recurrences at the time of RFA were independent negative prognostic factors. The local tumor progression rate was 14.0% (24/172). Grade 3 adverse events were observed after two sessions (1.8%), and no grade 4/5 adverse events were observed. The paper concluded that RFA of colorectal lung metastases provided favorable long-term survival with a low incidence of severe adverse events. Independent prognostic factors were a high CEA level before RFA and the presence of viable extrapulmonary recurrences at the time of RFA and the presence of severe adverse events.

Li and associates (2021) published a retrospective analysis of individuals with stage IA NSCLC listed in the Surveillance, Epidemiology, and End Results (SEER) registry. The OS and CSS were compared in individuals treated with SBRT (n=6004) or RFA (n=191) who had not undergone neo-adjuvant chemotherapy. The median OS for RFA was 36 months compared to 35 months for SBRT. The 1-, 3-, and 5-year OS rates were also similar (RFA: 83.3%, 48.5% and 29.1%; SBRT: 83.8%, 48.3% and 27.4%). The RFA median CSS was 62 months compared to

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

SBRT median CSS of 58 months. While the RFA group reported better OS compared to the SBRT group, it was not statistically significant.

A retrospective study completed by Tselikas and colleagues (2020) compared the efficacy of tolerability of RFA and surgery for the treatment of oligometastatic lung disease. The surgical group (n=78) underwent a variety of procedures, including wedge resection (single and multiple), segmentectomy, lobectomy and thoracotomy. The majority of those in the RFA group (n=126) had a single session. The local tumor progression rate in the surgery group compared to the RFA group at 1 year was 5.4% versus 14.8% and 2 years was 10.6% versus 18.6% respectively. A tumor size > 2 cm and number of tumors >3 were independently associated with increased local tumor progression. The pulmonary-progression-free rate for the surgical group compared to the RFA group at 1 years 43.9% versus 34.7% respectively. The overall survival rate for the surgical group compared to the RFA group at 1 year were 94.8% versus 94.0%, at 3 years 67.2% versus 72% respectively. While the RFA group was older, had more comorbidities and more bilateral lung and extra-pulmonary metastases, there were no differences in overall survival.

Tetta and colleagues (2021) analyzed the median OS and local control of SBRT and RFA in the treatment of lung metastases from soft tissue sarcoma. A total of 7 studies were selected for each modality, with approximately equal participants for RFA (n=206) and SBRT (n=218). The median gross tumor volume ranged from 3.0 cm³ to 5.0 cm³ in the SBRT group. The RFA group lesion size ranged from 3mm to 70mm. The SBRT group median OS was reported in 4 studies and ranged from 25.2 to 69 months. The RFA group median OS was also only reported in 4 studies varied from 19 to 62 months. The 2-year local control rate in the SBRT studies ranged from 84% to 96.2% compared to 85.6% to 94.5% in the RFA studies. Successful outcomes are associated with the following individual characteristics:

1) long DFI (>36 months) between the treatment of the primary tumor and the appearance of metastases; 2) oligometastatic disease (i.e. <3-5 metastases); 3) disease involving only the lung (or small number of extra-thoracic locations); 4) small size nodules (up to 2-3 cm of larger diameter); 5) lesions far away from large vessels

The NCCN guidelines for the treatment of SCLC (V3.2021) do not address the use of RFA. For NSCLC, surgical resection is still standard care when the individual is a candidate. For individuals who are not surgical candidates, RFA may be an option in high risk individuals with lesions less than 3 cm. Treatment in lesions greater than 3 cm may be associated with increased risk of local recurrence and complications.

Published short-term results suggest that RFA treatment of pulmonary malignancies when surgical resection is contraindicated appears relatively safe, technically feasible and effective in improving local disease control. In addition, specialty opinion suggests that RFA may be used as a treatment for pulmonary tumors.

Soft Tissue Sarcoma

Studies of soft tissue sarcoma RFA treatment include a few small case series (Menendez, 1999; Nakamura, 2009; Palussière, 2011; Saumet, 2015; Tappero, 1991). These studies involved 16 to 29 subjects and provide little generalizable data. A retrospective, non-randomized, controlled study by Falk (2015) involved 281 subjects with

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from the health plan.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

oligometastases from sarcomas. Of these, 164 subjects were treated with local ablation therapy and 117 were not. Local therapy was defined as an ablative treatment used with the aim of removing all metastases via surgery, RFA, or radiotherapy. It is unclear how many subjects received RFA, but it was some number less than 35. The purpose was to assess the efficacy of local ablative treatment on the survival of patients with oligometastases from sarcomas. Subjects had one to five lesions at any metastatic site and any grade/histology. Median follow-up was 25.7 months, with 129 (45.9%) deaths observed by the end of the study. Median OS was 45.3 months for the local treatment group and 12.6 for the non-local group. Survival was better among subjects who received local treatment (HR, 0.47; p<0.001). Subgroup analyses revealed similar findings in the patients with single oligometastases (HR, 0.48; p=0.007). A significant benefit was observed for grade 3 tumors, and a trend was observed for grade 2 tumors. No survival or other data was provided for the subset of subjects who received RFA, allowing no opportunity to assess the benefit of this approach on its own.

The evidence addressing the use of cryoablation or RFA for the treatment of soft tissue sarcoma is very limited. While the NCCN guideline for soft tissue sarcoma (V.1.2021) includes recommendations for RFA or cryoablation, they do not provide a citations or a rationale to support this position. Available evidence has not shown the use of RFA or cryoablation for soft tissue sarcoma to be as good as or better than alternative treatment options.

Adrenal Neoplasms or metastases

In 2018, Frenk published the results of a retrospective case series study of image-guided ablations of adrenal metastases measuring less than 5 cm. The study did not include matched controls. The study reported on 51 procedures performed on 46 tumors in 38 subjects. The tumors included renal cell carcinoma (n= 17), metastatic non-small cell lung cancer (n=10), and metastases from other primary malignancies (n=11). Cryoablation was done in 30 subjects, radiofrequency ablation in 12, and microwave ablation in 9. The mean follow-up was 37 months (range, 2-128 months). The authors reported technical success, primary efficacy, and secondary efficacy were 96%, 72%, and 76%. Local progression rates during all follow-up time was 25%. Local tumor progression-free survival at 1, 3, and 5 years was 82%, 69%, and 55%. Overall survival at 1, 3, and 5 years was 82%, 44%, and 34%. In 16 subjects with isolated adrenal metastasis, median disease-free survival was 8 months, with 4 subjects had no evidence of disease during follow-up. The authors noted that lung cancer metastases were associated with decreased survival (HR, 4.41, p=0.002). While the results of this study are promising, the lack of controls and small number of subjects receiving RFA provide insufficient evidence to show that RFA for of adrenal metastases provides benefits similar to or better than other treatments.

Mendiratta and colleagues (2011) evaluated the use of RFA as a primary treatment for symptomatic primary functional adrenal neoplasms. The authors evaluated images and medical records from 13 consecutive individuals with symptomatic functional tumors smaller than 3.2 cm in diameter who underwent RFA over a 7-year period. All participants demonstrated resolution of abnormal biochemical markers after ablation (mean biochemical follow-up, 21.2 months). In addition, all participants experienced resolution of clinical symptoms or syndromes, including hypertension and hypokalemia (in those with aldosteronoma), Cushing syndrome (in the participant with cortisol-secreting tumor), virilizing symptoms (in the participant with testosterone-secreting tumor), and hypertension (in the participant with pheochromocytoma). For those with aldosteronoma, improvements in hypertension management were noted. The study is limited by its retrospective observational design and its small size. Larger

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from the health plan.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

studies that include subjects with adenomas and carcinomas are needed further determine the value of RFA in the treatment of functional adrenal tumors.

Yang and colleagues (2016) retrospectively evaluated the safety and efficacy of RFA in 7 individuals with aldosterone-producing adenoma (APA) of the adrenal gland compared to 18 subjects with unilateral adrenal APA treated by laparoscopic adrenalectomy (LA). Tumors in both groups were all smaller than 25 mm in diameter. After 3-6 months of follow-up, complete tumor ablation on follow-up CT scan and normalization of serum aldosterone-to-renin ratio was seen in 100% of the RFA group compared to 94.4% in the LA group. The normalization of the aldosterone-to-renin ratio was statistically equivalent in the RFA and the LA groups. Compared to the LA group, the RFA group demonstrated significantly less post-operative pain (visual analog scale, 2.0 versus 4.22) and shorter operative time (105 min versus 194 min). The authors concluded that CT-guided percutaneous RFA is effective, safe and is a justifiable alternative for individuals who are reluctant or unfit for laparoscopic surgery for the treatment of APA. Larger, prospective, controlled trials are needed to confirm this finding.

In a retrospective study of 63 subjects with APA, Liu and colleagues (2016) evaluated the effectiveness of laparoscopic adrenalectomy (n=27) versus CT-guided percutaneous RFA (n=36). They reported that RFA was associated with significantly shorter duration of operation, shorter hospital stay, lower analgesic requirements, and earlier resumption of work. Morbidity rates were similar in the two groups after a median follow-up of 5-7 years (range 1.9-10.6 years). Resolution of primary aldosteronism was seen in 33 of 36 subjects treated with RFA and in all 27 subjects who had laparoscopic adrenalectomy. Hypertension was resolved less frequently after treatment with RFA compared with laparoscopic adrenalectomy; hypokalaemia was resolved in all subjects. The authors concluded that in this study, RFA was slightly inferior to LA as a treatment of APA.

Overall, the evidence addressing the use of radiofrequency ablation or cryoablation for the treatment of primary and metastatic adrenal tumors is insufficient to show that this approach is equivalent or superior to adrenalectomy. To date, the evidence consists of a limited number of small retrospective studies, only two of which were comparative trials. Additional data regarding these approaches are needed to establish safety and efficacy.

Osteoid Osteoma

Osteomas are benign tumors of the bone typically seen in children and young adults. They cause inflammation, local effects on normal tissue from tumor expansion, and secondary effects and complications (for example, scoliosis or osteoarthritis). Complete removal of the osteoid bone, which forms the nidus of the tumor, must be done in order to provide symptomatic relief and decrease the chance of recurrence (Noordin, 2018). Open excision is the accepted treatment and is generally successful, with the success rate reported at 88-100% and a recurrence rate of 4.5-25% (Tanriverdi, 2020). However, it is associated with increased risk of fracture, recurrence of larger tumors, and incomplete resection of anatomically inaccessible tumors. RFA has been used as a minimally invasive alternative to the surgical excision. The rate of recurrence of RFA is approximately 5-12% (Tanriverdi, 2020).

The use of radiofrequency ablation (RFA) has been demonstrated in several case series to be an effective treatment of osteoid osteoma. In the largest case series, 126 individuals treated over an 11-year period received complete pain relief in 89% of participants (Rosenthal, 1998). In another study, Rimondi and colleagues (2005) were able to demonstrate an 85% primary success in 82 out of 97 participants. Secondary success was achieved in 15 individuals

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from the health plan.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

(15%). There were no treatment related complications. A smaller study by Martel (2005) reported a 97% primary success rate with RFA in 38 individuals. The secondary success rate was 100% in this study. Knudsen and colleagues (2015) reported the results of a case series study involving 52 subjects who underwent CT-guided RFA of osteoid osteomas in the extremities. The response rate after two treatments was 98%, with no major adverse events.

Flanigan (2014) reported results of a case series of 28 individuals with osteoid osteoma treated with intraoperative RFA performed by one surgeon. Technical success was reported for all procedures with no intraoperative or post-operative complications. One individual was lost to follow-up and 27 individuals were evaluable at the end of the study period. At the mean follow-up of 31.1 months (range, 5.2-55.8 months), 26 individuals (92.8%) reported complete relief from pain and no evidence of recurrence. There were two recurrences after RFA recorded. One individual had repeat RFA 2 months after the initial treatment, and no recurrence was evident at the close of the study. The second individual was also treated with a repeat RFA treatment, but was lost to follow-up.

Head and Neck Cancer (HNC)

Owen and colleagues (2011) studied RFA for local control in 21 individuals with recurrent and/or unresectable HNC who failed treatment with surgery, radiation, and/or chemotherapy. Eight of 13 participants had stable disease after intervention. Median survival was 127 days. They concluded that RFA may be a promising palliative treatment alternative for local control and quality of life in those with incurable HNC who have failed standard curative treatment. Further prospective controlled study is needed to confirm this finding.

Thyroid Cancer

Ultrasound-guided RFA for the treatment of thyroid cancer was evaluated in a retrospective nonrandomized controlled study involving 23 subjects with 42 locoregional well-differentiated thyroid carcinomas (Guenette, 2013). Half of the tumors were treated with RFA and the other half with percutaneous ethanol injection (PEI). The use of RFA versus PEI was based on tumor size and location. Technical failure was reported in 1 case in each treatment group, and both were excluded from the analysis. The mean tumor size was 1.5 cm, with a range of 0.5-3.7 cm. Mean follow-up was 61.3 months for the RFA-treated group. No progression was observed in the RFA-treated subjects. After a mean follow-up 38.5 months, disease progression was detected in 5 out of 21 subjects (23.8%) treated with PEL One adverse event was reported in the RFA group, with the subjects having permanent vocal cord paralysis. The authors conclude that RFA is a safe and effective option for the treatment of thyroid cancer. Larger, randomized trials are needed to confirm these results.

Definitions

Ablation: The destruction of a body part or tissue or its function. Ablation may be achieved by surgery, hormones, drugs, radiofrequency, heat, or other methods.

Cryosurgical ablation (cryotherapy or cryoablation): A surgical procedure where cancerous or diseased cells are destroyed using extreme cold.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from the health plan.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

Metastasis: The spread of cancer from one part of the body to another. A metastatic tumor contains cells that are like those in the original (primary) tumor and have spread.

Osteoid osteoma: A benign skeletal tumor of unknown etiology that can occur in any bone.

Overall survival (OS): The length of time between disease diagnosis or start of treatment for disease, that the individual is still alive.

Progression free survival (PFS): The length of time following treatment that the individual lives with the stable disease (disease does not worsen).

Radiofrequency ablation (RFA): A surgical procedure where cancerous or diseased cells are destroyed using heat produced by high-frequency radio waves.

Recurrence free survival (RFS): The length of time following the end of the primary treatment that the individual does not have any signs or symptoms of the disease. Also known as relapse-free survival or disease-free survival.

Solid tumors: Tumors that appear in body tissues other than blood, bone marrow, or the lymphatic system; examples include tumors of the liver, lung, or colon.

Tumor: An abnormal mass of tissue that results from excessive cell division that is uncontrolled and progressive, also called a neoplasm.

Unresectable: Refers to a tumor that cannot safely be removed surgically due to size or location.

References

Peer Reviewed Publications:

- 1. Abufaraj M, Siyam A, Ali MR, et al. Functional outcomes after local salvage therapies for radiation-recurrent prostate cancer patients: a systematic review. Cancers (Basel). 2021; 13(2):244.
- 2. Akeboshi M, Yamakado K, Nakatsuka A, et al. Percutaneous radiofrequency ablation of lung neoplasms: Initial therapeutic response. J Vasc Interv Radiol. 2004; 15(5):463-470.
- 3. Aron M, Gill IS. Renal tumor ablation. Curr Opin Urol. 2005; 15(5):298-305.
- 4. Atwell TD, Schmit GD, Boorjian SA, et al. Percutaneous ablation of renal masses measuring 3.0 cm and smaller: comparative local control and complications after radiofrequency ablation and cryoablation. AJR Am J Roentgenol. 2013; 200(2):461-466.
- 5. Aus G, Pileblad E, Hugosson J. Cryosurgical ablation of the prostate: 5-year follow-up of a prospective study. Eur Urol. 2002; 42(2):133-138.
- 6. Belfiore G, Moggio G, Tedeschi E, et al. CT-guided radiofrequency ablation: a potential complementary therapy for patients with unresectable primary lung cancer a preliminary report of 33 patients. AJR Am J Roentgenol. 2004; 183(4):1003-1011.
- 7. Bhayani SB, Belani JS, Hidalgo J, et al. Trends in nephron-sparing surgery for renal neoplasia. Urology. 2006; 68(4):732-736.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

- 8. Breen DJ, Bryant TJ, Abbas A, et al. Percutaneous cryoablation of renal tumours: outcomes from 171 tumours in 147 patients. BJU Int. 2013; 112(6):758-765.
- 9. Buy X, Lang H, Garnon J, et al. Percutaneous renal cryoablation: prospective experience treating 120 consecutive tumors. AJR Am J Roentgenol. 2013; 201(6):1353-1361.
- 10. Callstrom MR, Dupuy DE, Solomon SB, et al. Percutaneous image-guided cryoablation of painful metastases involving bone: Multicenter trial. Cancer. 2013; 119(5):1033-1041.
- 11. Campbell SC, Novick AC, Belldegrun A, et al. Guideline for management of the clinical T1 renal mass. J Urol. 2009; 182(4):1271-1279.
- 12. Cantore M, Girelli R, Mambrini A, et al. Combined modality treatment for patients with locally advanced pancreatic adenocarcinoma. Br J Surg. 2012; 99(8):1083-1088.
- 13. Caputo PA, Ramirez D, Zargar H, et al. Laparoscopic cryoablation for renal cell carcinoma: 100-month oncologic outcomes. J Urol. 2015; 194(4):892-896.
- 14. Cestari A, Guazzoni G, dell'Acqua V, et al. Laparoscopic cryoablation of solid renal masses: intermediate term followup. J Urol. 2004; 172(4 Pt 1):1267-1270.
- 15. Chang X, Liu T, Zhang F, et al. Radiofrequency ablation versus partial nephrectomy for clinical T1a renalcell carcinoma: long-term clinical and oncologic outcomes based on a propensity score analysis. J Endourol. 2015; 29(5):518-525.
- 16. Chin JL, Al-Zahrani AA, Autran-Gomez AM, et al. Extended followup oncologic outcome of randomized trial between Cryoablation and external beam therapy for locally advanced prostate cancer (T2c-T3b). J Urol. 2012; 188(4):1170-1175.
- 17. Choueiri TK, Schutz FA, Hevelone ND, et al. Thermal ablation vs. surgery for localized kidney cancer: a Surveillance, Epidemiology, and End Results (SEER) database analysis. Urology. 2011; 78(1):93-98.
- Chua TC, Sarkar A, Saxena A, et al. Long-term outcome of image-guided percutaneous radiofrequency ablation of lung metastases: an open-labeled prospective trial of 148 patients. Ann Oncol. 2010; 21(10):2017-2022.
- 19. Clark TW, Malkowicz B, Stavropoulos W, et al. Radiofrequency ablation of small renal cell carcinomas using multitined expandable electrodes: preliminary experience. J Vasc Interv Radiol. 2006; 17(3):513-519.
- 20. Davol PE, Fulmer BR, Rukstalis DB. Long-term results of cryoablation for renal cancer and complex renal masses. Urology. 2006; 68(1 Suppl):2-6.
- 21. Deane LA, Clayman RV. Review of minimally invasive renal therapies: needle-based and extracorporeal. Urology. 2006; 68(1 Suppl):26-37.
- 22. de Baere T, Tselikas L, Woodrum D, et al. Evaluating cryoablation of metastatic lung tumors in patients safety and efficacy: the ECLIPSE trial interim analysis at 1-year. Cardiol Rev. 2015; 10(10):1468-1474.
- 23. De la Taille A, Hayek O, Benson MC, et al. Salvage cryotherapy for recurrent prostate cancer after radiation therapy: the Columbia experience. Urology. 2000; 55(1):79-84.
- 24. Desai MM, Aron M, Gill IS. Laparoscopic partial nephrectomy versus laparoscopic cryoablation for the small renal tumor. Urology. 2005; 66(5 Suppl):23-28.
- 25. Donnelly BJ, Saliken JC, Ernst DS, et al. Prospective trial of cryosurgical ablation of the prostate: five-year results. Urology. 2002; 60(4):645-649.
- 26. Drachenberg DE. Treatment of prostate cancer: watchful waiting, radical prostatectomy, and cryoablation. Semin Surg Oncol. 2000; 18(1):37-44.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

- 27. Dumot JA, Vargo JJ 2nd, Falk GW, et al. An open-label, prospective trial of cryospray ablation for Barrett's esophagus high-grade dysplasia and early esophageal cancer in high-risk patients. Gastrointest Endosc. 2009; 70(4):635-644.
- Dupuy DE, Fernando HC, Hillman S, et al. Radiofrequency ablation of stage IA non-small cell lung cancer in medically inoperable patients: results from the American College of Surgeons Oncology Group Z4033 (Alliance) trial. Cancer. 2015; 121(19):3491-3498.
- 29. Dupuy DE, Liu D, Hartfeil D, et al. Percutaneous radiofrequency ablation of painful osseous metastases: a multicenter American College of Radiology Imaging Network trial. Cancer. 2010; 116(4):989-997.
- 30. Edwards MJ, Broadwater R, Tafra L, et al. Progressive adoption of cryoablative therapy for breast fibroadenoma in community practice. Am J Surg. 2004; 188(3):221-224.
- 31. El Dib R, Touma NJ, Kapoor A. Cryoablation vs radiofrequency ablation for the treatment of renal cell carcinoma: a meta-analysis of case series studies. BJU Int. 2012; 110(4):510-516.
- 32. Emara AM, Kommu SS, Hindley RG, Barber NJ. Robot-assisted partial nephrectomy vs laparoscopic cryoablation for the small renal mass: redefining the minimally invasive 'gold standard'. BJU Int. 2014; 113(1):92-99.
- Farrell MA, Charboneau WJ, DiMarco DS, et al. Image-guided radiofrequency ablation of solid renal tumors. Am J Roentgenol. 2003; 180(6):1509-1513.
- Fergany A. Current status and advances in nephron-sparing surgery. Clin Genitourin Cancer. 2006; 5(1):26-33.
- 35. Flanagin BA, Lindskog DM. Intraoperative radiofrequency ablation for osteoid osteoma. Am J Orthop. 2015; 44(3):127-130.
- 36. Fornage BD, Sneige N, Ross MI, et al. Small (< or = 2 cm) breast cancer treated with US guided radiofrequency ablation. Feasibility study. Radiology. 2004; 231(1):215-224.
- 37. Frenk NE, Daye D, Tuncali K, et al. Local control and survival after image-guided percutaneous ablation of adrenal metastases. J Vasc Interv Radiol. 2018; 29(2):276-284.
- 38. Gage AA, Baust JG. Cryosurgery for tumors. J Am Coll Surg. 2007; 205(2):342-356.
- 39. Georgiades CS, Hong K, Bizzell C, et al. Safety and efficacy of CT-guided percutaneous cryoablation for renal cell carcinoma. J Vasc Interv Radiol. 2008; 19(9):1302-1310.
- 40. Gervais DA, Arellano RS, McGovern FJ, et al. Radiofrequency ablation of renal cell carcinoma: part 2, lessons learned with ablation of 100 tumors. AJR Am J Roentgenol. 2005; 185(1):72-80.
- 41. Gervais DA, McGovern FJ, Arellano RS, et al. Renal cell carcinoma: clinical experience and technical success with radio-frequency ablation of 42 tumors. Radiology. 2003; 226(2):417-424.
- 42. Gervais DA, McGovern FJ, Arellano RS, et al. Radiofrequency ablation of renal cell carcinoma: part 1, indications, results, and role in patient management over a 6-year period and ablation of 100 tumors. Am J Roentgenol. 2005; 185(1):64-71.
- 43. Gestaut MM, Cai W, Vyas S, et al. Low-dose-rate brachytherapy versus cryotherapy in low- and intermediate-risk prostate cancer. Int J Radiat Oncol Biol Phys. 2017; 98(1):101-107.
- 44. Giardino A, Girelli R, Frigerio I, et al. Triple approach strategy for patients with locally advanced pancreatic carcinoma. HPB (Oxford). 2013; 15(8):623-627.
- 45. Gillams A, Khan Z, Osborn P, Lees W. Survival after radiofrequency ablation in 122 patients with inoperable colorectal lung metastases. Cardiovasc Intervent Radiol. 2013; 36(3):724-730.
- 46. Gill IS, Novick AC, Meraney AM, et al. Laparoscopic renal cryoablation in 32 patients. Urology. 2000; 56(5):748-753.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from the health plan.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

- 47. Gill IS, Remer EM, Hasan WA, et al. Renal cryoablation: outcome at 3 years. J Urol. 2005; 173(6):1903-1907.
- 48. Girelli R, Frigerio I, Giardino A, et al. Results of 100 pancreatic radiofrequency ablations in the context of a multimodal strategy for stage III ductal adenocarcinoma. Langenbecks Arch Surg. 2013; 398(1):63-69.
- 49. Goetz MP, Callstrom MR, Charboneau JW, et al. Percutaneous image-guided radiofrequency ablation of painful metastases involving bone: a multicenter study. J Clin Oncol. 2004; 22(2):300-306.
- 50. Golatta M, Harcos A, Pavlista D, et al. Ultrasound-guided cryoablation of breast fibroadenoma: a pilot trial. Arch Gynecol Obstet. 2014; 291(6):1355-1360.
- 51. Guenette JP, Lopez MJ, Kim E, Dupuy D. Solitary painful osseous metastases: correlation of imaging features with pain palliation after radiofrequency ablation- a multicenter American College of Radiology Imaging Network Study. Radiology. 2014; 268(3): 907-915.
- 52. Guenette JP, Monchik JM, Dupuy DE. Image-guided ablation of postsurgical locoregional recurrence of biopsy-proven well-differentiated thyroid carcinoma. J Vasc Interv Radiol. 2013; 24(5):672-679.
- 53. Hafron J, Kaouk JH. Ablative techniques for the management of kidney cancer. Nat Clin Pract Urol. 2007; 4(5):261-269.
- 54. Hafron J, Kaouk JH. Cryosurgical ablation of renal cell carcinoma. Cancer Control. 2007; 14(3):211-217.
- 55. Haramis G, Graversen JA, Mues AC, et al. Retrospective comparison of laparoscopic partial nephrectomy versus laparoscopic renal cryoablation for small (<3.5 cm) cortical renal masses. J Laparoendosc Adv Surg Tech A. 2012; 22(2):152-157.
- 56. Hayashi AH, Silver SF, van der Westhuizen NG, et al. Treatment of invasive breast carcinoma with ultrasound-guided radiofrequency ablation. Am J Surg. 2003; 185(5):429-435.
- 57. Hegarty NJ, Gill IS, Desai MM, et al. Probe-ablative nephron-sparing surgery: cryoablation versus radiofrequency ablation. Urology. 2006; 68(1 Suppl):7-13.
- 58. Hess A, Palussière J, Goyers JF, et al. Pulmonary radiofrequency ablation in patients with a single lung: feasibility, efficacy, and tolerance. Radiology. 2011; 258(2):635-642.
- 59. Hiraki T, Gobara H, Iishi T, et al. Percutaneous radiofrequency ablation for pulmonary metastases from colorectal cancer: midterm results in 27 patients. J Vasc Interv Radiol. 2007; 18(10):1264-1269.
- 60. Hruby G, Reisiger K, Venkatesh R, et al. Comparison of laparoscopic partial nephrectomy and laparoscopic cryoablation for renal hilar tumors. Urology. 2006; 67(1):50-54.
- 61. Huston TL, Simmons RM. Ablative therapies for the treatment of malignant diseases of the breast. Am J Surg. 2005; 189(6):694-701.
- 62. Izzo F, Thomas R, Delrio P, et al. Radiofrequency ablation in patients with primary breast carcinoma: a pilot study in 26 patients. Cancer. 2001; 92(8):2036-2044.
- 63. Jin GY, Lee JM, Lee YC, et al. Primary and secondary lung malignancies treated with percutaneous radiofrequency ablation: evaluation with follow-up helical CT. AJR Am J Roentgenol. 2004; 183(4):1013-1020.
- 64. Johnson DB, Solomon SB, Su LM, et al. Defining the complications of cryoablation and radio frequency ablation of small renal tumors: a multi-institutional review. J Urol. 2004; 172(3):874-877.
- 65. Kaouk JH, Aron M, Rewcastle JC, Gill IS. Cryotherapy: clinical end points and their experimental foundations. Urology. 2006; 68(1 Suppl):38-44.
- 66. Katsanos K, Mailli L, Krokidis M, et al. Systematic review and meta-analysis of thermal ablation versus surgical nephrectomy for small renal tumours. Cardiovasc Intervent Radiol. 2014; 37(2):427-437.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from the health plan.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

- 67. Kaufman CS, Littrup PJ, Freeman-Gibb LA, et al. Office-based cryoablation of breast fibroadenomas with long-term follow-up. Breast J. 2005; 11(5):344-350.
- 68. Kaufman CS, Bachman B, Littrup PJ, et al. Office-based ultrasound-guided cryoablation of breast fibroadenomas. Am J Surg. 2002; 184(5):394-400.
- 69. King J, Glenn D, Clark W, et al. Percutaneous radiofrequency ablation of pulmonary metastases in patients with colorectal cancer. Br J Surg. 2004; 91(2):217-223.
- 70. Kishida T. Renal cryoablation: still experimental? Int J Urol. 2006; 13(7):885.
- Klimberg VS, Ochoa D, Henry-Tillman R, et al. Long-term results of phase II ablation after breast lumpectomy added to extend intraoperative margins (ABLATE I) Trial. J Am Coll Surg. 2014; 218(4):741-749.
- 72. Knudsen M, Riishede A, Lücke A, et al. Computed tomography-guided radiofrequency ablation is a safe and effective treatment of osteoid osteoma located outside the spine. Dan Med J. 2015; 62(5). pii: A5059.
- 73. Koppie TM, Shinohara K, Grossfeld GD, et al. The efficacy of cryosurgical ablation of prostate cancer: the University of California, San Francisco experience. J Urol. 1999; 162(2):427-432.
- 74. Korpan NN. A history of cryosurgery: its development and future. J Am Coll Surg. 2007; 204(2):314-324.
- 75. Kovach SJ, Hendrickson RJ, Cappadona CR, et al. Cryoablation of unresectable pancreatic cancer. Surgery. 2002; 131(4):463-464.
- 76. Kunkle DA, Uzzo RG. Cryoablation or radiofrequency ablation of the small renal mass: a meta-analysis. Cancer. 2008; 13(10):2671-2680.
- 77. Lam JS, Breda A, Belldegrun A, Figlin RA. Evolving principles of surgical management and prognostic factors for outcome in renal cell carcinoma. J Clin Oncol. 2006; 24(35):5565-5575.
- 78. Lawatsch EJ, Langenstroer P, Byrd GF, et al. Intermediate results of laparoscopic cryoablation in 59 patients at the Medical College of Wisconsin. J Urol. 2006; 175(4):1225-1229.
- 79. Lee JM, Jin GY, Goldberg SN, et al. Percutaneous radiofrequency ablation for inoperable non-small cell lung cancer and metastases: preliminary report. Radiology. 2004; 230(1):125-134.
- 80. Lencioni R, Crocetti L, Cioni R, et al. Response to radiofrequency ablation of pulmonary tumours: a prospective, intention-to-treat, multicentre clinical trial (the RAPTURE study). Lancet Oncol. 2008; 9(7):621-628.
- 81. Leveillee RJ, Castle SM, Gorbatiy V, et al. Oncologic outcomes using real-time peripheral thermometryguided radiofrequency ablation of small renal masses. J Endourol. 2013; 27(4):480-489.
- Lewin JS, Nour SG, Connell CF, et al. Phase II clinical trial of interactive MR imaging-guided interstitial radiofrequency thermal ablation of primary kidney tumors: initial experience. Radiology. 2004; 232(3):835-845.
- 83. Li M, Xu X, Qin Y, et al. Radiofrequency ablation vs. stereotactic body radiotherapy for stage IA non-small cell lung cancer in nonsurgical patients. J Cancer. 2021; 12(10):3057-3066.
- 84. Littrup PJ, Ahmed A, Aoun HD, et al. CT-guided percutaneous cryotherapy of renal masses. J Vasc Interv Radiol. 2007; 18(3):383-392.
- 85. Littrup PJ, Freeman-Gibb L, Andea A, et al. Cryotherapy for breast fibroadenomas. Radiology. 2005; 234(1):63-72.
- 86. Littrup PJ, Jallad B, Chandiwala-Mody P, et al. Cryotherapy for breast cancer: a feasibility study without excision. J Vasc Interv Radiol. 2009; 20(10):1329-1341.
- 87. Liu SY, Chu CM, Kong AP, et al. Radiofrequency ablation compared with laparoscopic adrenalectomy for aldosterone-producing adenoma. Br J Surg. 2016; 103(11):1476-1486.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from the health plan.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

- 88. Long JP, Bahn D, Lee F, et al. Five-year retrospective, multi-institutional pooled analysis of cancer-related outcomes after cryosurgical ablation of the prostate. Urology. 2001; 57(3):518-523.
- 89. Lowry PS, Nakada SY. Renal cryotherapy: 2003 clinical status. Curr Opin Urol. 2003; 13(3):193-197.
- 90. Martel J, Bueno A, Ortiz E. Percutaneous radiofrequency treatment of osteoid osteoma using cool-tip electrodes. Eur J Radiol. 2005; 56(3):403-408.
- 91. Matsui Y, Hiraki T, Gobara H, et al. Long-term survival following percutaneous radiofrequency ablation of colorectal lung metastases. J Vasc Interv Radiol. 2015; 26(3):303-310.
- 92. Mayo-Smith WW, Dupuy DE, Parikh PM, et al. Imaging-guided percutaneous radiofrequency ablation of solid renal masses: techniques and outcomes of 38 treatment sessions in 32 consecutive patients. AJR Am J Roentgenol. 2003; 180(6):1503-1508.
- 93. Mayo-Smith WW, Dupuy DE. Adrenal neoplasms: CT-guided radiofrequency ablation preliminary results. Radiology. 2004; 231(1):225-230.
- 94. Meftah M, Schult P, Henshaw RM. Long-term results of intralesional curettage and cryosurgery for treatment of low-grade chondrosarcoma. J Bone Joint Surg Am. 2013; 95(15):1358-1364.
- 95. Mehta TI, Heiberger C, Kazi S, et al. Effectiveness of radiofrequency ablation in the treatment of painful osseous metastases: a correlation meta-analysis with machine learning cluster identification. J Vasc Interv Radiol. 2020; 31(11):1753-1762.
- 96. Mendiratta-Lala M, Brennan DD, Brook OR, et al. Efficacy of radiofrequency ablation in the treatment of small functional adrenal neoplasms. Radiology. 2011; 258(1):308-316.
- 97. Menendez LR, Tan MS, Kiyabu MT, Chawla SP. Cryosurgical ablation of soft tissue sarcomas: a phase I trial of feasibility and safety. Cancer. 1999; 86(1):50-57.
- 98. Mohler DG, Chiu R, McCall DA, Avedian RS. Curettage and cryosurgery for low-grade cartilage tumors is associated with low recurrence and high function. Clin Orthop Relat Res. 2010; 468(10):2765-2773.
- 99. Moon TD, Lee FT Jr, Hedican SP, et al. Laparoscopic cryoablation under sonographic guidance for the treatment of small renal tumors. J Endourol. 2004; 18(5):436-440.
- 100. Moore W, Talati R, Bhattacharji P, Bilfinger T. Five-year survival after cryoablation of stage I non-small cell lung cancer in medically inoperable patients. J Vasc Interv Radiol. 2015; 26(3):312-319.
- Nadler RB, Kim SC, Rubenstein JN, et al. Laparoscopic renal cryosurgery: the Northwestern experience. J Urol. 2003; 170(4 Pt 1):1121-1125.
- Nguyen CL, Scott WJ, Goldberg M. Radiofrequency ablation of lung malignancies. Ann Thorac Surg. 2006; 82(1):365-371.
- 103. Nitta Y, Tanaka T, Morimoto K, et al. Intermediate oncological outcomes of percutaneous radiofrequency ablation for small renal tumors: initial experience. Anticancer Res. 2012; 32(2):615-618.
- Noordin S, Allana S, Hilal K, et al. Osteoid osteoma: Contemporary management. Orthop Rev (Pavia). 2018; 10(3):7496.
- 105. Nurko J, Mabry CD, Whitworth P, et al. Interim results from the Fibro Adenoma Cryoablation Treatment Registry. Am J Surg. 2005; 190(4):647-651.
- Oakley NE, Hagarty NJ, McNeill A, Gill IS. Minimally invasive nephron-sparing surgery for renal cell cancer. BJU Int. 2006; 98(2):278-284.
- 107. Ochiai S, Yamakado K, Kodama H, et al. Comparison of therapeutic results from radiofrequency ablation and stereotactic body radiotherapy in solitary lung tumors measuring 5 cm or smaller. Int J Clin Oncol. 2015; 20(3):499-507.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

- 108. O'Malley RL, Berger AD, Kanofsky JA, et al. A matched-cohort comparison of laparoscopic cryoablation and laparoscopic partial nephrectomy for treating renal masses. BJU Int. 2007; 99(2):395-398.
- 109. Oura S, Tamaki T, Hirai I, et al. Radiofrequency ablation therapy in patients with breast cancers two centimeters or less in size. Breast Cancer. 2007; 14(1):48-54.
- 110. Owen RP, Khan SA, Negassa A, et al. Radiofrequency ablation of advanced head and neck cancer. Arch Otolaryngol Head Neck Surg. 2011; 137(5):493-498.
- 111. Panumatrassamee K, Kaouk JH, Autorino R, et al. Cryoablation versus minimally invasive partial nephrectomy for small renal masses in the solitary kidney: impact of approach on functional outcomes. J Urol. 2013; 189(3):818-822.
- 112. Park S, Anderson JK, Matsumoto ED, et al. Radiofrequency ablation of renal tumors: intermediate-term results. J Endourol. 2006; 20(8):569-573.
- 113. Pavlovich CP, Walther MM, Choyke PL, et al. Percutaneous radio frequency ablation of small renal tumors: initial results. J Urol. 2002; 167(1):10-15.
- 114. Permpongkosol S, Link RE, Kavoussi LR, Solomon SB. Percutaneous computerized tomography guided cryoablation for localized renal cell carcinoma: factors influencing success. J Urol. 2006; 176(5):1963-1968.
- Permpongkosol S, Nielsen ME, Solomon SB. Percutaneous renal cryoablation. Urology. 2006; 68(1 Suppl):19-25.
- 116. Petre EN, Jia X, Thornton RH, et al. Treatment of pulmonary colorectal metastases by radiofrequency ablation. Clin Colorectal Cancer. 2012; 12(1):37-44.
- 117. Pfleiderer SO, Freesmeyer MG, Marx C, et al. Cryotherapy of breast cancer under ultrasound guidance: initial results and limitations. Eur Radiol. 2002; 12(12):3009-3014.
- 118. Pusztaszeri M, Vlastos G, Kinkel K, Pelte MF. Histopathological study of breast cancer and normal breast tissue after magnetic resonance-guided cryotherapy ablation. Cryobiology. 2007; 55(1):44-51.
- 119. Rendon RA, Kachura JR, Sweet JM, et al. The uncertainty of radio frequency treatment of renal cell carcinoma: findings at immediate and delayed nephrectomy. J Urol. 2002; 167(4):1587-1592.
- 120. Reuter NP, Woodall CE, Scoggins CR, et al. Radiofrequency ablation vs. resection for hepatic colorectal metastasis: therapeutically equivalent? J Gastrointest Surg. 2009; 13(3):486-491.
- 121. Rimondi E, Bianchi G, Malaguti MC, et al .Radiofrequency thermoablation of primary non-spinal osteoid osteoma: optimization of the procedure. Eur Radiol. 2005; 15(7):1393-1399.
- 122. Rivoire M, De Cian F, Meeus P, et al. Cryosurgery as a means to improve surgical treatment of patients with multiple unresectable liver metastases. Anticancer Res. 2000; 20(5C):3785-3790.
- 123. Robinson D, Halperin N, Nevo Z. Two freezing cycles ensure interface sterilization by cryosurgery during bone tumor resection. Cryobiology. 2001; 43(1):4-10.
- 124. Robinson JW, Donnelly BJ, Saliken JC, et al. Quality of life and sexuality of men with prostate cancer 3 years after cryosurgery. Urology. 2002; 60(2 Suppl 1):12-18.
- 125. Rodriguez R, Chan DY, Bishoff JT, et al. Renal ablative cryosurgery in selected patients with peripheral renal masses. Urology. 2000; 55(1):25-30. Rosenthal DI, Hornicek FJ, Wolfe MW, et al. Percutaneous radiofrequency coagulation of osteoid osteoma compared with operative treatment. J Bone Joint Surg Am. 1998; 80(6):815-821.
- 126. Rukstalis DB, Khorsandi M, Garcia FU, et al. Clinical experience with open renal cryoablation. Urology. 2001; 57(1):34-39.
- 127. Russo P. Renal cryoablation: study with care—proceed with caution. Urology. 2005; 65(3):419-421.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

- 128. Russo P. Renal cryoablation: a new treatment in need of careful clinical investigation. Nat Clin Pract Oncol. 2006; 3(6):286-287.
- 129. Sabel MS, Kaufman CS, Whitworth P, et al. Cryoablation of early-stage breast cancer: work-in-progress report of a multi-institutional trial. Ann Surg Oncol. 2004; 11(5):542-549.
- 130. Safi S, Rauch G, Op den Winkel J, et al. Sublobar resection, radiofrequency ablation or radiotherapy in stage I non-small cell lung cancer. Respiration. 2015; 89(6):550-557.
- 131. Sano Y, Kanazawa S, Gobara H, et al. Feasibility of percutaneous radiofrequency ablation for intrathoracic malignancies: a large single-center experience. Cancer. 2007; 109(7):1397-1405
- 132. Schwartz BF, Rewcastle JC, Powell T, et al. Cryoablation of small peripheral renal masses: a retrospective analysis. Urology. 2006; 68(1 Suppl):14-18.
- 133. Silverman SG, Tuncali K, van Sonnenberg E, et al. Renal tumors: MR imaging-guided percutaneous cryotherapy—initial experience in 23 patients. Radiology. 2005; 236(2):716-724.
- 134. Simon CJ, Dupuy DE, DiPetrillo TA, et al. Pulmonary radiofrequency ablation: long-term safety and efficacy in 153 patients Radiology. 2007; 243(1):268-275.
- 135. Simmons RM, Ballman KV, Cox C, et al.; ACOSOG investigators. A Phase II trial exploring the success of cryoablation therapy in the treatment of invasive breast carcinoma: results from ACOSOG (Alliance) Z1072. Ann Surg Oncol. 2016; 23(8):2438-2445.
- 136. Singletary SE. Radiofrequency ablation of breast cancer. Am Surg. 2003; 69(1):37-40.
- 137. Steinke K, Glenn D, King J, et al. Percutaneous imaging-guided radiofrequency ablation in patients with colorectal pulmonary metastases: 1-year follow-up. Ann Surg Oncol. 2004; 11(2):207-212.
- 138. Sung HH, Park BK, Kim CK, et al. Comparison of percutaneous radiofrequency ablation and open partial nephrectomy for the treatment of size- and location-matched renal masses. Int J Hyperthermia. 2012; 28(3):227-234.
- 139. Tanagho YS, Bhayani SB, Kim EH, Figenshau RS. Renal cryoablation versus robot-assisted partial nephrectomy: Washington University long-term experience. J Endourol. 2013; 27(12):1477-1486.
- 140. Tanrıverdi B, Erbahçeci Salık A, Çetingök H, et al. Multidisciplinary approach in the treatment of osteoid osteoma with radiofrequency ablation. Jt Dis Relat Surg. 2020; 31(2):255-259.
- 141. Tappero JW, Berger TG, Kaplan LD, et al. Cryotherapy for cutaneous Kaposi's sarcoma (KS) associated with acquired immune deficiency syndrome (AIDS): a phase II trial. J Acquir Immune Defic Syndr. 1991; 4(9):839-846.
- 142. Tetta C, Carpenzano M, Algargoush ATJ, et al. Non-surgical treatments for lung metastases in patients with soft tissue sarcoma: stereotactic body radiation therapy (SBRT) and radiofrequency ablation (RFA). Curr Med Imaging. 2021; 17(2):261-275.
- 143. Tracy CR, Raman JD, Donnally C, et al. Durable oncologic outcomes after radiofrequency ablation: experience from treating 243 small renal masses over 7.5 years. Cancer. 2010; 116:3135-3142.
- 144. Tselikas L, Garzelli L, Mercier O, et al. Radiofrequency ablation versus surgical resection for the treatment of oligometastatic lung disease. Diagn Interv Imaging. 2021; 102(1):19-26.
- 145. Turtulici G, Orlandi D, Corazza A, et al. Percutaneous radiofrequency ablation of benign thyroid nodules assisted by a virtual needle tracking system. Ultrasound Med Biol. 2014; 40(7):1447-1452.
- 146. van de Voort EMF, Struik GM, Birnie E, et al. Thermal ablation as an alternative for surgical resection of small (≤ 2 cm) breast cancers: a meta-analysis. Clin Breast Cancer. 2021; S1526-8209(21)00059-8.
- 147. van der Geest IC, de Valk MH, de Rooy JW, et al. Oncological and functional results of cryosurgical therapy of enchondromas and chondrosarcomas grade 1. J Surg Oncol. 2008; 98(6):421-426.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

- 148. Van Poppel H, Becker F, Cadeddu JA, et al. Treatment of localised renal cell carcinoma. Eur Urol. 2011; 60(4):662-672.
- 149. Veltri A, Calvo A, Tosetti I, et al. Experiences in US-guided percutaneous radiofrequency ablation of 44 renal tumors in 31 patients: analysis of predictors for complications and technical success. Cardiovasc Intervent Radiol. 2006; 29(5):811-818.
- Venkatesan AM, Wood BJ, Gervais DA. Percutaneous ablation in the kidney. Radiology. 2011; 261(2):375-391.
- 151. Wah TM, Arellano RS, Gervais DA, et al. Image-guided percutaneous radiofrequency ablation and incidence of post-radiofrequency ablation syndrome: prospective survey. Radiology. 2005; 237(3):1097-1102.
- 152. Warlick CA, Lima GC, Allaf ME, et al. Clinical sequelae of radiographic iceball involvement of collecting system during computed tomography-guided percutaneous renal tumor cryoablation. Urology. 2006; 67(5):918-922.
- 153. Weld KJ, Figenshau RS, Venkatesh R, et al. Laparoscopic cryoablation for small renal masses: three-year follow-up. Urology. 2007; 69(3):448-451.
- 154. Weld KJ, Landman J. Comparison of cryoablation, radiofrequency ablation and high-intensity focused ultrasound for treating small renal tumours. BJU Int. 2005; 96(9):1224-1229.
- 155. Whitworth PW, Rewcastle JC. Cryoablation and cryolocalization in the management of breast disease. J Surg Oncol. 2005; 90(1):1-9.
- 156. Yan TD, King J, Ebrahimi A, et al. Hepatectomy and lung radiofrequency ablation for hepatic and subsequent pulmonary metastases from colorectal carcinoma. J Surg Oncol. 2007; 96(5):367-373.
- 157. Yang MH, Tyan YS, Huang YH, et al. Comparison of radiofrequency ablation versus laparoscopic adrenalectomy for benign aldosterone-producing adenoma. Radiol Med. 2016; 121(10):811-819.
- 158. Yasui K, Kanazawa S, Sano Y, et al. Thoracic tumors treated with CT-guided radiofrequency ablation: initial experience. Radiology. 2004; 231(3):850-857.
- 159. Yin X, Cui L, Li F, et al. Radiofrequency ablation versus partial nephrectomy in treating small renal tumors: a systematic review and meta-analysis. Medicine (Baltimore). 2015; 94(50):e2255.
- 160. Zagoria RJ, Hawkins AD, Clark PE, et al. Percutaneous CT-guided radiofrequency ablation of renal neoplasms: factors influencing success. AJR Am J Roentgenol. 2004; 183(1):201-207.
- 161. Zargar H, Samarasekera D, Khalifeh A, et al. Laparoscopic vs percutaneous cryoablation for the small renal mass: 15-year experience at a single center. Urology. 2015; 85(4):850-855.
- Zhao Z, Wu F. Minimally-invasive thermal ablation of early-stage breast cancer: a systemic review. Eur J Surg Oncol. 2010; 36(12):1149-1155.
- Zhu JC, Yan TD, Morris DL. A systematic review of radiofrequency ablation for lung tumors. Ann Surg Oncol. 2008; 15(6):1765-1774.
- Zisman A, Pantuck AJ, Wieder J, et al. Risk group assessment and clinical outcome algorithm to predict the natural history of patients with surgically resected renal cell carcinoma. J Clin Oncol. 2002; 20(23):4559-4566.

Government Agency, Medical Society, and Other Authoritative Publications:

 American Society of Breast Surgeons. Use of transcutaneous and percutaneous methods for the treatment of benign and malignant tumors of the breast. Approved October 16, 2018. Available at: https://www.breastsurgeons.org/docs/statements/Consensus-Guideline-on-the-Use-of-Transcutaneous-and-

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

<u>Percutaneous-Methods-for-the-Treatment-of-Benign-and-Malignant-Tumors-of-the-Breast.pdf</u>. Accessed on April 21, 2021.

- 2. American Urological Association. Clinically localized prostate cancer: AUA/ASTRO/SUO Guideline. 2017. Available at: <u>http://www.auanet.org/guidelines/clinically-localized-prostate-cancer-new-(aua/astro/suo-guideline-2017</u>). Accessed April 21, 2021.
- 3. American Urological Association. Renal mass and localized renal cancer: AUA guideline. 2017 Available at: <u>http://www.auanet.org/guidelines/renal-mass-and-localized-renal-cancer-new-(2017)</u>. Accessed on April 21, 2021.
- 4. Campbell SC, Novick AC, Belldegrun A, et al.; Practice Guidelines Committee of the American Urological Association. Guideline for management of the clinical T1 renal mass. J Urol. 2009; 182(4):1271-1279.
- Centers for Medicare and Medicaid Services. National Coverage Determination for Cryosurgery of Prostate. NCD #230.9. Effective July 1, 2001. Available at: http://www.cms.hhs.gov/mcd/index_list.asp?list_type=ncd#PC. Accessed on April 21, 2021.
- Donington J, Ferguson M, Mazzone P, et al.; Thoracic Oncology Network of American College of Chest Physicians; Workforce on Evidence-Based Surgery of Society of Thoracic Surgeons. American College of Chest Physicians and Society of Thoracic Surgeons consensus statement for evaluation and management for high-risk patients with stage I non-small cell lung cancer. Chest. 2012; 142(6):1620-1635.
- Haugen BR, Alexander EK., Bible KC, et al. 2015 American Thyroid Association Management Guidelines for Adult patients with thyroid nodules and differentiated thyroid cancer: the American Thyroid Association Guidelines Task Force on Thyroid Nodules and Differentiated Thyroid Cancer Thyroid. 2016; 26(1):1-133.
- 8. NCCN Clinical Practice Guidelines in Oncology[®]: 2021 National Comprehensive Cancer Network, Inc. For additional information visit the NCCN website: http://www.nccn.org/index.asp. Accessed on March 19, 2021.
 - Adult Cancer Pain (V.1.2021). Revised February 26, 2021.
 - Bone Cancer (V.1.2021). Revised November 20, 2020.
 - Breast Cancer (V.2.2021). Revised March 12, 2021.
 - Esophageal and Esophagogastric Junction Cancers (V.2.2021). Revised March 9, 2021.
 - Kidney Cancer (V.2.2021). Revised February 3, 2021.
 - Neuroendocrine and Adrenal Tumors (V2.2020). Revised July 24, 2020.
 - Non-Small Cell Lung Cancer. (V.4.2021). Revised March 3, 2021.
 - Pancreatic Adenocarcinoma (V.2.2021). Revised February 25, 2021.
 - Prostate Cancer (V.2.2021). Revised February 17, 2021.
 - Small Cell Lung Cancer (V.3.2021). Revised March 23, 2021.
 - Soft Tissue Sarcoma (V.1.2021). Revised October 30, 2020.
- 9. Smallridge RC, Ain KB, Asa SL, et al.; American Thyroid Association Anaplastic Thyroid Cancer Guidelines Taskforce. American Thyroid Association guidelines for management of patients with anaplastic thyroid cancer. Thyroid. 2012; 22(11):1104-1139.
- Society of Interventional Radiology (SIR). Society of Interventional Radiology Position Statement on the Role of Percutaneous Ablation in Renal Cell Carcinoma. 2019. Available at: <u>https://www.jvir.org/article/S1051-0443(19)30931-5/pdf</u>. Accessed on April 21, 2021.
- 11. Sun F, Oyesanmi O, Fontanarosa J, et al. Therapies for Clinically Localized Prostate Cancer: Update of a 2008 Systematic Review. Comparative Effectiveness Reviews No. 146. Rockville (MD): Agency for Healthcare

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

No part of this publication may be reproduced, stored in a retrieval system or transmitted, in any form or by any means, electronic, mechanical, photocopying, or otherwise, without permission from the health plan.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

Research and Quality. December 2014. Available at: https://www.ncbi.nlm.nih.gov/books/NBK269320/?report=reader. Accessed on April 21, 2021.

 Wells SA Jr, Asa SL, Dralle H, et al. Revised American Thyroid Association Guidelines for the management of medullary thyroid carcinoma: the American Thyroid Association Guidelines Task Force on Medullary Thyroid Carcinoma. Thyroid. 2015; 25(6):567-610.

Websites for Additional Information

American Cancer Society. Available at: https://www.cancer.org/. Accessed on April 20, 2021.

- 1. National Institute of Health (NIH). National Cancer Institute (NCI).
 - Cancer Facts. Cryosurgery in Cancer Treatment: Questions and Answers. September 10, 2003. Available at: http://www.cancer.gov/cancertopics/factsheet/Therapy/cryosurgery. Accessed on April 20, 2021.
 - NCI Dictionary of Cancer Terms. Available at: <u>https://www.cancer.gov/publications/dictionaries/cancer-terms</u>. Accessed on April 21, 2021.

Index

Bony Metastases, Treatment of Cancer Cryoablation Cryosurgery Cryosurgical Ablation Kidney Cancer Non-Small Cell Lung Cancer Lung Cancer Osteoid Osteomas Radiofrequency Ablation (RFA) Renal Cell Carcinoma Solid Tumor

The use of specific product names is illustrative only. It is not intended to be a recommendation of one product over another, and is not intended to represent a complete listing of all products available.

History		
Status	Date	Action
Reviewed	05/13/2021	Medical Policy & Technology Assessment Committee (MPTAC) review.
		Updated Discussion, Definitions, References and Websites sections.
		Reformatted Coding section.
Reviewed	05/14/2020	MPTAC review. Updated Discussion, References and Websites sections.
Revised	11/07/2019	MPTAC review. Moved content from CG-SURG-62 Radiofrequency Ablation
		to Treat Tumors Outside the Liver into this guideline. Revised title from

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.

Cryosurgical or Radiofrequency Ablation to Treat Solid Tumors Outside the Liver

		Cryosurgical Ablation of Solid Tumors Outside the Liver to Cryosurgical or
		Radiofrequency Ablation to Treat Solid Tumors Outside the Liver. Updated
		Coding section with radiofrequency ablation coding and 01/01/2020 CPT
		changes; added 0581T.
Reviewed	11/08/2018	MPTAC review.
Reviewed	10/31/2018	Hematology/Oncology Subcommittee review. Updated Rationale and
		References sections. Updated Coding section with ICD-10-PCS codes
		0B5K3ZZ, 0B5L3ZZ, 0B5M3ZZ.
New	11/02/2017	MPTAC review.
New	11/01/2017	Hematology/Oncology Subcommittee review. Initial document development.
		Moved content of SURG.00025 Cryosurgical Ablation of Solid Tumors
		Outside the Liver to new clinical utilization management guideline document
		with the same title. Updated Rationale section. Updated Coding section with

01/01/2018 CPT changes; removed 0340T deleted 12/31/2017.

Federal and State law, as well as contract language including definitions and specific coverage provisions/exclusions, and Medical Policy take precedence over Clinical UM Guidelines and must be considered first in determining eligibility for coverage. The member's contract benefits in effect on the date that services are rendered must be used. Clinical UM Guidelines, which address medical efficacy, should be considered before utilizing medical opinion in adjudication. Medical technology is constantly evolving, and we reserve the right to review and update Clinical UM Guidelines periodically. Clinical UM guidelines are used when the plan performs utilization review for the subject. Due to variances in utilization patterns, each plan may choose whether or not to adopt a particular Clinical UM Guideline. To determine if review is required for this Clinical UM Guideline, please contact the customer service number on the back of the member's card.